

Box 1.**Strengthen the Role of the Sanctions Committees**

Enhance the Capacity of the Sanctions Committees

§ 41 *For the Security Council*

- Ensure that Sanctions Committees are equipped with clear and complete mandates.
- Consider establishing a standard framework and format to guide all Sanctions Committees, so as to facilitate their work and to ensure consistency and continuity between them.

Improve Coordination among Sanctions Committees and Other UN Actors

§ 42 *For the Security Council*

- Work to integrate targeted sanctions into a broader diplomatic strategy of conflict prevention and conflict resolution that includes, good offices, mediation, and, where necessary, the threat or use of force, in accordance with Chapter VII of the United Nations Charter.
- Improve regular coordination among the Sanctions Committees, by building a requirement for coordination into sanction-mandating resolutions.
- Assign a coordinating role to an appropriate body or mechanism within the Secretariat, which could establish channels of dialogue and interaction between the Sanctions Committees, the Expert Panels and UN departments and agencies.
- Re-assess the various supporting, advisory, and implementing roles of the UN Secretariat and their relation to Security Council and Sanctions Committee mandates and roles.

Promote Transparency and Ownership

§ 43 *For Sanctions Committees*

- Provide regular and detailed briefings or reports to Member States on the status of implementation of targeted sanctions, including factual information concerning sanctions violations, obstacles to implementation, efforts to mitigate humanitarian consequences, and to reduce the negative impact on third states.
- Encourage regular input from Member States as to challenges they face in sanctions monitoring and enforcement.
- Provide technical assistance on implementation following clear reporting instructions, consistent with Security Council mandates.
- Strengthen communication and coordination with Member States in

advance of Fifth Committee sessions of the General Assembly, where budgetary issues related to sanctions are being assessed.

- Provide regular briefings to relevant humanitarian and other operational agencies regarding exemptions from sanctions regimes, so that they may adapt their policies and operations accordingly.
- Consider extending the emerging practice by some Sanctions Committee Chairpersons of posting brief summaries of Sanctions Committee informal sessions on their Permanent Mission web pages.
- Arrange for the agendas of all Sanctions Committee meetings to be published in the *UN Journal* to assist Member States as well as the timely publication of the Reports of Statements of Members.

Utilize the Innovative Practices of the Counter-Terrorism Committee

§ 44 *For the Security Council*

- Improve the reporting mechanism by which Member States provide timely and meaningful information to the Sanctions Committees on measures taken in support of sanctions implementation and provide Member States with clear reporting instructions (see Part IV, Box 12).
- Consider adapting the methods of the CTC for facilitating the provision to Member States of needed technical assistance on sanctions implementation.

§ 45 *For Sanctions Committees*

- Develop stronger links with relevant experts and departments of regional organizations, and other international bodies, including Interpol and the Financial Action Task Force (FATF).
- Make full use of the Roster of Experts developed by the Sanctions Branch to assist in the selection of members for Expert Panels, Monitoring Mechanisms and for other technical experts.
- Develop Member State contact points at Permanent Missions to the UN for liaison on all targeted sanctions and make a national contact point registry available to all Member States.
- Consider adapting the methods of the CTC for facilitating the provision to Member States of needed technical assistance on sanctions implementation, including establishing a web-directory of available policy and legal models, training programs and the appointment of an independent technical assistance expert.

Enhance the Support for the Sanctions Committee Chairperson

§ 46 *For the Security Council*

- Consider options for providing expertise and capacity to assist the

Sanctions Committees, including either the creation of the post of Sanctions Coordinator, the assignment of a technical expert along the lines of the CTC, designating a technical and liaison officer from the Sanctions, or the upgrading the role and responsibilities of the Committee Vice-Chairpersons.

- Engage incoming Chairpersons in Sanctions Committee consultations early on, perhaps by including them in relevant briefings and consultations prior to their formal appointment to a Sanctions Committee.
- Mandate Sanctions Committee Chairpersons to hold regular inter-Committee meetings and to develop other measures to coordinate and harmonize their work.
- Revisit the document produced by the Sanctions Committee Chairpersons, known as “The Chairs Report,” to identify proposals that have not been implemented.
- Consider possible ways to provide supplementary financial support to Sanctions Committees.

Box 2.

Follow Through on Expert Panel and Monitoring Mechanism Reports

§ 47 *For the Security Council*

- Work to ensure consistent and timely follow-up action on implementing all recommendations of Expert Panel and Monitoring Mechanism Reports, including recalling member-states to their responsibilities under Chapter VIII of the UN Charter to comply with and support UN sanctions.
- Conduct a thorough review of possible follow-on actions recommended by Expert Panels and Monitoring Mechanisms *before* renewing of their extending mandates.
- Give greater consideration to the potential use of secondary sanctions, in instances of verified, chronic violations.
- Consider ways to ensure easing or lifting of sanctions against those targets who have demonstrated compliance.

§ 48 *For the Sanctions Committee Chairpersons*

- Consult with the independent experts to ensure that their reports include specific, actionable recommendations for Security Council consideration and follow up.
- Chairpersons should be proactive in drawing attention to the find-

ings of Expert Panels and Monitoring Mechanisms and ensuring that their findings and recommendations, including recommendations that lack follow-through, are placed on the Security Council's agenda.

- Chairpersons should ensure that sufficient time is allotted for independent experts to brief the Security Council, and for Council members to review and deliberate the findings of the Expert Panel and Monitoring Mechanism reports.

§ 49 *For Member States*

- Undertake timely and effective direct follow-up action on Expert Panel and Monitoring Mechanism recommendations, where the evidence warrants and where they have the capacity to do so. Notably, Member States should undertake domestic criminal investigations, and where appropriate, legal proceedings when citizens alleged by panel reports to have been active or complicit in the violation of UN sanctions regimes.
- Ensure that verifiable supporting documentation of sanctions violations and national compliance measures are supplied to the appropriate Expert Panel or Monitoring Mechanism, in accordance with Member State's responsibilities for ensuring sanction compliance and enforcement.

Box 3.

Mitigate Unintended Consequences of Targeted Sanctions

§ 50 *For the Security Council*

- Strengthen measures to use all available sources of information to identify and anticipate unintended negative impacts, as well as to design more effective measures to minimize them, including improved design and management of exemption procedures for third parties, whether states, entities, or individuals.
- Incorporate regular humanitarian, social, and economic impact assessments into sanctions monitoring procedures, following the practices developed by Sanctions Committees in accordance with UNSCR 1343 (2001) and 1408 (2002) and ensure sanctions mandates designate an appropriate agent to undertake them.
- These assessments should proceed under an established methodology, perhaps by adapting and expanding that developed by the OCHA-led Inter-Agency Standing Committee, taking into account the specificities of each sanctions situation.
- Improve mechanisms of communication for (UN Charter) Ar-

ticle 50 cases so that the concerns of third party Member States that might be unduly affected by sanctions, including possible requests for exemptions, may be more effectively addressed.

- Ensure the strictest protection and observance of the due process rights of those listed as targets and consider establishing clear guidelines for determining which individuals and entities are listed as targets, procedures for appeal and, where appropriate, for delisting.
- Consider the creation of an independent body to monitor the observance of due process rights of those designated as sanctions targets.

Box 4.

Explore Options to Improve Implementation on the Ground

§ 51 *For the Security Council*

- Strengthen the implementation capacity of regional actors and front-line states to provide continuous local monitoring of sanctions implementation, by considering the adaptation of the Sanctions Assistance Missions (SAMs) employed under the European Union Yugoslav sanctions regime, and the sanctions enforcement support teams as envisioned in UNSCR 1363 (2001), which foresaw the creation of a regionally-based network of national experts, under the authority of the 1267 Sanctions Committee.
- Request the Secretariat to undertake an assessment of the ways to better utilize the current capacities of UN field offices and missions (political, humanitarian, observer, disarmament and peacekeeping) to provide routine sanctions monitoring and reporting as part of their regular functions, taking care not to compromise their primary peacekeeping, diplomatic, or humanitarian missions.
- Consider the possibility of including a requirement to report sanctions violations in the mandates of UN Peacekeeping and Observer Missions.

RECOMMENDATIONS

Box 5.**Improve Coordination between the UN and Other Relevant Actors**§ 63 *For the Security Council*

- Consideration should be given to the creation of a mechanism to ensure routine avenues for improved coordination and information sharing on sanctions implementation with relevant external agencies, such as Interpol, the Financial Action Task Force, and the World Customs Organization (WCO).
- Improve methods for routine engagement of regional and sub-regional organizations in support of sanctions implementation, both by inviting their regular input and by assisting them to build the technical capacity for coordinated sanctions monitoring and enforcement.
- Encourage greater interaction between relevant NGOs and the SACOs through more frequent NGO briefings on sanctions-related issues, perhaps through the use of the Arria formula of informal meetings between the SC members and key experts and actors. To make this an effective mechanism, schedules of relevant Security Council and SACO meetings should be provided to NGOs well in advance to allow them the needed preparation time.
- Ensure that a representative array of voices from civil society/NGOs are taken into account and included, where appropriate, in UN-led humanitarian evaluations of the impact of targeted sanctions regimes, including prior impact assessments and periodic follow up of actual sanctions impact on civilians. Establish a dual track interaction with large international NGOs that deal with international policy issues and NGOs in the field.
- Explore ways to bring the expertise of the Office of the High Commissioner for Human Rights (OHCHR) and human rights NGOs to bear on the problem of ensuring that procedures for compiling lists of sanctions targets are transparent and in conformity with international human rights norms and due process.
- Explore options to increase opportunities for consultation with key private sector actors on sanctions-related issues.

Box 6.**Develop a Media Strategy**

- § 64 *For the Security Council and Sanctions Committees*
- Establish a system of routine press briefings on the work of the Sanctions Committees to inform media, particularly in countries or regions where targeted sanctions are being applied, regarding the objectives, progress and challenges of sanctions implementation.
 - Augment the liaison role of the Office of the Spokesman of the Secretary-General between the media, the UNSC Presidency, and the Sanctions Committees.
 - Arrange for routine background press briefings by UN Expert Panels, timed to coincide with the formal release of Expert Panel reports.
 - Ensure a coordinated and timely media message and reduce pre-emptive leaking of Expert Panel reports by introducing system of formal press embargoes and/or by establishing clear guidelines for all Sanctions Committees and Expert Panel members regarding disciplined procedures for public release of Expert Panel reports.
- § 65 *For the Secretariat*
- Develop strategies to assist the Security Council in providing better public information to Member States and the general public and to convey the message that targeted sanctions are a potentially valuable and useful instrument of deterrence and prevention.

RECOMMENDATIONS

Box 7.**Improve the Capacity of Expert Panels and Monitoring Mechanisms****Strengthen Logistical, Administrative and Budgetary Support**§ 76 *For the Security Council*

- Ensure that sanctions resolutions that mandate the creation of an Expert Panel need to take full account of logistical and budgetary support that the panel will require to fulfill these mandates, including the provision of an adequate time period for investigation and reporting.
- Continue to study the proposal submitted by Member States to establish a unified independent expert mechanism.

§ 77 *For the Sanctions Branch*

- As a matter of priority, establish a system within the Secretariat where the accumulated materials on sanctions monitoring and sanctions violations can be consolidated and made available to investigators, monitors, and UN policymakers.
- Ensure that the Expert Panels receive a thorough orientation on the background to their mandate, the work of previous panels, the parameters of their work, and the expectations placed on them. Orientation should also include clarification of how the Sanctions Committees and the Secretariat function.

Establish a Common Process for Selection of Independent Experts§ 78 *For the Security Council and Sanctions Committees*

- Make use of the standing Roster of Experts recently established by the Sanctions Branch, to facilitate more rapid selection of experts. Avoid re-appointing the same experts to new panels, as institutional habituation may undermine their independent perspective.
- Supplement the recently established Roster of Experts by developing rigorous and transparent criteria and procedures for the selection of panel and monitoring mechanism experts, so as to ensure that each

Expert Panel has the appropriate mix of regional and functional expertise and that the selection process remains transparent and objective.

- Supplement Expert Panel and Monitoring Mechanism investigations with legal expertise to ensure their findings meet solid evidentiary standards. The UN Office of Legal Affairs might be enlisted to assist identifying relevant legal experts.

Establish Common Guidelines for Expert Panels and Monitoring Mechanisms

§ 79 *For the Security Council and Sanctions Committees*

- Provide the Expert Panels and Monitoring Mechanisms with standardized reference guidelines to consult as they set about their work. These guidelines should be suggestive rather than mandatory, in keeping with the principle of independence of the expert investigations.
- Develop guidelines drawing on the best practices and lessons learned from the accumulated experience of existing Expert Panels and Monitoring Mechanisms, as well as relevant recommendations of the General Working Group on Sanctions, and allow flexibility for tailoring to the specific mandates of different panels.

RECOMMENDATIONS

Box 8.

Model Guidelines for Expert Panels and Monitoring Mechanisms

- § 100 *Mandate by the Security Council*
Expert Panels and Sanctions Monitoring Mechanisms shall
- Define their activities according to the mandate given by the UNSC, and consult with the relevant Sanctions Committee, through the Chair, possible ambiguities or queries regarding said mandate.
 - Identify violations to the sanctions regime, monitor member-state compliance, and produce specific recommendations for action, unless instructed otherwise.
- § 101 *Consultations*
Expert Panels and Sanctions Monitoring Mechanisms shall
- Maintain on-going consultations with the relevant Sanctions Com-

mittee Chair from the outset and until findings are made available to the public.

- Avail themselves of the accumulated experience and knowledge of other prior and on-going Expert Panels and Sanctions Monitoring Mechanisms and ensure that all documentation collected in the course of their investigations is delivered to the Secretariat.
- Maintain their independence while their investigations are being conducted.
- Give the opportunity to relevant state authorities to view and act on their findings in confidence, and make available, whenever appropriate, any evidence of wrongdoing to the relevant governments for their review and comment.

§ 102 *Coordination of Work Plans*

Expert Panels and Sanctions Monitoring Mechanisms shall

- Define a clear division of labor among the Chairperson and group members, and clarify their respective functional roles in the investigation.
- Undertake prior consultations with the relevant local and regional UN offices in support for their work.
- Solicit the good offices and cooperation of national authorities in New York and/or in capitals.
- Consider a careful balance between the potential security risks derived from the investigative activities and the need to produce a positive outcome.

§ 103 *Evidentiary Standards and Sources of Information*

Expert Panels and Sanctions Monitoring Mechanisms shall

- Draft reports according to the highest possible evidentiary standards, including corroboration of particular findings by independent, credible sources.
- Consider carefully the reliability of confidential information supplied by cooperating state and international officials, journalists, and private individuals taking into consideration the identity and role of the supplying source.
- Avoid using confidentially or anonymously supplied information as the basis for allegations or as the sole basis of any assertions of wrongdoing.
- Ensure that evidence be as transparent and verifiable as possible to protect the credibility of findings and the integrity of the process.
- Identify sources of information whenever appropriate.

§ 104 *Final Report**Expert Panels and Sanctions Monitoring Mechanisms shall*

- Ensure that the report complies with the requirements of the UNSC mandate under which the investigation is authorized.
- Consider that the primary purpose of the report is to provide information and recommendations to the Security Council, and consequently, use a format that may contribute effectively to the purposes defined by the Security Council.
- Pre-assess the impact of the report in consultation with the Sanctions Committee, in particular when dealing with specific names of individuals, organizations, or states.
- If figures are mentioned, for example, those measuring the value or volume of shipments in sanctioned commodities, a footnote indicating the source should be included.
- While information concerning background and context may be appropriate, seek to produce a report that is factual and include sources whenever possible.

§ 105 *Relations with the Media**Expert Panels and Sanctions Monitoring Mechanisms shall*

- Establish clear criteria, in close cooperation with the relevant SACO Chair, to communicate with the media.
- Exercise prudence in dealing with the media during the course of investigations and field visits.
- Make reports available to the media only after they have been delivered to the Security Council for review.

RECOMMENDATIONS

Box 9.**Enhance the Capacity of the UN Secretariat**

- § 119 *Upgrade Human Capacity of the Sanctions Branch*
- Ensure that the Sanctions Branch is adequately staffed to fulfill effectively its mandated tasks of providing administrative, logistical, and analytical support to the Sanctions Committees, Expert Panels, and Monitoring Mechanisms.
- § 120 *Improve Analytical and Information Capacity*
- Establish a centralized and accessible database of all sanctions-related information collected from Expert Panel and Monitoring Mechanism investigations as well as from other sources and provide a full-time information specialist to manage the database.
 - Further systematize and consolidate the newly implemented Roster of Experts of those nominated by member states and others, based on best practices, according to clear criteria, and that includes relevant types of expertise: country experts, sanctions experts, law enforcement professionals, specialists on international transport, small arms smuggling, financial flows, etc.
 - Consider establishing an Internet Web Portal on UN sanctions implementation Committees as a data-retrievable repository of sanctions-relevant research and reports that would be accessible to authorized users.
- § 121 *Ensure Adequate Budgetary Support for Sanctions Implementation*
- Confirm the UN regular budget as the main funding source for sanctions implementation.
 - Develop an assessment mechanism and general guidelines to determine the budgetary support that is required for each Expert Panel and Monitoring Mechanism to facilitate the cost estimates elaborated between the Secretariat and the panels.
 - Ensure an adequate budgetary allocation that Chairs of the Sanctions Committees may use for activities relevant to implementation, including travel to the region.

Box 10.**Principles for Effective Implementation of Targeted Sanctions**

- § 160 In preparing the stage for effective implementation of targeted sanctions, the Security Council may consider establishing the following set of principles, incorporated in a resolution, Presidential Statement, or Note by the President as they might decide.
- Recalling that under Article 25 of the UN Charter, mandatory resolutions of the Security Council are binding on all Member States, and must be given full effect in their laws and administration;
 - Taking into consideration, in determining whether to impose sanctions, the appropriateness of specific targeted sanctions and an evaluation as to the likelihood of the effectiveness of the measures being contemplated;
 - Acknowledging the need for periodic reassessment of the measures, including their unintended effects on civilian populations, respecting international humanitarian law [and human rights];
 - Expressing a willingness to assist States to carry out their responsibilities to implement sanctions measures;
 - Expressing its willingness to consider the impact of targeted sanctions on non-targeted States, including the provision of practical ways to assist them;
 - Calling upon the Bretton Woods institutions and United Nations agencies, funds and programs to assess the impact of sanctions on non-targeted States and, in appropriate circumstances, to identify practical ways to assist them; and
 - Recalling that the Security Council has used secondary sanctions as an enforcement tool.
- § 161 To encourage effective implementation of targeted sanctions in accordance with these principles, the Security Council should adopt a platform for effective implementation and
- Call upon states to adopt a model law to give effect to Security Council resolutions in domestic law.
 - Make it clear that lack of capacity to implement sanctions effectively must be specifically addressed by States lacking such capacity in their reports to sanctions committees.
 - Invite States to seek technical assistance if they lack the capacity to implement sanctions effectively.
 - Encourage States with the capacity to do so to offer appropriate technical and financial assistance to States needing it.

- Encourage States to establish an interdepartmental committee that brings together relevant agencies to implement targeted sanctions and to identify central contact points in their capital for the transmission of information about the implementation of targeted sanctions.
- Encourage States to cooperate through regional organizations to which they belong to identify best practices, cooperate with expert panels and sanctions committees, and to ensure reporting of violations.
- Mandate the Sanctions Committees, in cooperation with the Secretariat, to monitor compliance by States and to establish detailed reporting requirements for them.
- Encourage the transparency of Sanctions Committees to facilitate implementation.
- Create a database, in cooperation with the Secretariat, of available technical assistance for capacity building.



RECOMMENDATIONS

Box 11.

Conclusions on the Consolidated Model Law

Preamble

§ 237 *For Civil Law Countries:*

- Whereas the United Nations Security Council may decide, in accordance with Article 41 of the Charter of the United Nations, on measures not involving the use of armed force to be deployed to give effect to its decisions, and may call upon the members of the United Nations to apply such measures.
- Whereas such measures may include complete or partial interruption of economic relations and of rail, sea, air, postal, telegraphic, radio, and other means of communication, and the severance of diplomatic relations.
- Whereas the Members of the United Nations have agreed to accept and carry out the decisions of the Security Council in accordance with the Charter of the United Nations.
- Whereas [the Member State] is a member of the United Nations.

§ 238 *For Common Law Countries*

- An Act to enable effect to be given to decisions under Article 41 of the Charter of the United Nations.

Article 1.

- § 239 If, under Article 41 of the Charter of the United Nations, the Security Council of the United Nations calls upon [*the Member State*] to apply

measures to give effect to a decision taken under that Article, then in accordance with [*the Member State*]'s obligations under Article 25 of the Charter the [*relevant authority*] shall forthwith adopt such [*national measures*] as appears necessary or expedient to implement such measures effectively.

Article 2.

- § 240 The [*national measures*] shall apply notwithstanding rights and obligations conferred or imposed prior to, as well as after, the [*national measures*] coming into force, unless expressly stated otherwise; and compliance with the [*national measures*] (or with the legislation of another State adopted pursuant to the same resolution of the Security Council) shall be a complete defense against any claim based on the above mentioned rights and obligations.

Article 3.

- § 241 The [*national measures*] made under paragraph 1 shall apply within the territory of [*the Member State*] and to all nationals of and entities incorporated in or organized in accordance with the laws of [*the Member State*], wherever located or operating, and on board of vessels or aircraft under [*the State's*] jurisdiction.

Article 4.

- § 242 Contravention or evasion of the [*national measures*] shall be an offence, subject to the penalties specified in the [*national measures*]. Such penalties shall be effective, dissuasive and proportionate, and may include the forfeiture of any property, documents or funds deriving from, used or dealt with in connection with the contravention or evasion.

Article 5.

- § 243 [*National measures*] made in accordance with this law shall have effect notwithstanding the provisions of any other law.

Box 12.

Draft Form for Reporting on Implementation

Introduction

- § 409 Resolution ____, adopted under Chapter VII of the United Nations Charter, requests (or requires) all States to report to the Committee on the mandatory measures contained therein. It is the responsibility of all States to implement and enforce those measures. The Sanctions Committee created by Security Council resolution ____ is mandated to monitor the implementation of these measures.
- § 410 The Committee stands ready to advise States, if requested, on all issues related to the implementation of resolution ____.
The Sanctions Committee would appreciate your assistance and expects to learn from your experience to improve the implementation of targeted sanctions.

Reporting on Implementation

- § 411 In compiling their reports, States should aim to provide clear and substantive information. In addition, the Committee would appreciate if reports were as factual and complete as possible. In particular, States should provide information on the following:

All Targeted Sanctions

- § 412 What ministry or agency in your State is responsible for the implementation of these targeted sanctions (list specific sanctions measures contained in Security Council resolution)? How do we contact them? Please provide phone, fax, address and email information.
- § 413 What legislative and/or administrative measures, if any, have the authorities in your State taken to implement the targeted sanctions required by the Security Council in resolution ____?
- § 414 What penalties apply in your State for violations of the measures contained in paragraphs ____ of resolution ____ (list specific measures contained in the resolution)?
- § 415 Please send the Committee a copy of the most recent relevant legislation or administrative measures pertaining to the implementation of these measures.
- § 416 If any such legislation or administrative measures have recently been proposed or are being contemplated, please provide further details about them.

Arms Sanctions

- § 417 What measures has your State taken to stop deliveries of arms, weapons and related materiel of all types, assistance or training related to military activities from earlier sales to or arrangements with the individuals, groups and entities that are covered by the measures contained in resolution ____?
- § 418 What measures have been implemented to stop supplies of restricted materiel on flag vessels?
- § 419 What measures have been taken to stop deliveries from free trade zones?
- § 420 What measures have been taken to stop transfers of restricted goods via your territory?
- § 421 With respect to the direct or indirect supply, sale or transfer of arms and related materiel, what kind of goods list is your country using to identify prohibited items? Is this list compatible with internationally agreed lists?
- § 422 Are exports of these goods normally licensed by your State? If so, who is the licensing authority?
- § 423 How often have licenses for exports of these goods or services been issued?

Financial Sanctions

- § 424 What legislation and procedures exist for freezing accounts and assets at banks and financial institutions? It would be helpful if States supplied examples of any relevant action taken.
- § 425 Have you informed banks and other financial institutions operating in your State of their obligations regarding the freezing of funds and other financial assets, as contained in resolution ____?
- § 426 If your authorities have identified and frozen funds and other financial assets or economic resources of the individuals, groups, undertakings and entities referred to in the list adopted by the Sanctions Committee, please indicate relevant information such as types of assets frozen, account numbers (where possible) and monetary value of frozen assets.
- § 427 How, specifically, do procedures for the issuance of identity papers and travel documents support the travel ban on certain individuals contained in resolution ____ and in the list of such individuals adopted by the Sanctions Committee?

Travel Bans

- § 428 Has your State notified your national immigration and customs services of the need to implement the measures contained in resolution ____?
- § 429 What measures has your State taken to prevent the entry into or the transit through your territories of the individuals referred to in the list mentioned in paragraph ____ of resolution ____?
- § 430 How, specifically, do procedures for the issuance of identity papers and travel documents support the travel ban on certain individuals contained in resolution ____ and in the list of such individuals adopted by the Sanctions Committee?

Aviation Sanctions

- § 431 Does your State have the ability to monitor overflights and landings of civil aviation?
- § 432 Does your State have the ability to intercept aircraft in cases where you find violations?

Targeted Trade Sanctions

- § 433 What measures has your State taken to prevent the supply, sale or transfer to ____ of the goods and services listed in paragraphs ____ of resolution ____?

Additional Measures

- § 434 Would your State be willing or able to provide assistance to other States to help them implement the measures contained in resolution ____?
- § 435 What financial and human resources has your State allocated to the implementation of these sanctions?
- § 436 Are you or other officials in your State aware of instances of sanctions evasion? If so, please describe in as much detail as possible.
- § 437 Would there be any technical or legal restrictions to your cooperating with United Nations monitoring or investigative bodies with respect to these sanctions?
- § 438 The Sanctions Committee would in addition welcome the submission of any other information concerning investigations or enforcement actions related to your efforts to enforce and strengthen the measures imposed under domestic laws or regulations to prevent and punish violations of the measures contained in resolution ____.
- § 439 Please include any other relevant information in your reports. You may also include general observations, concerns or requests for assistance related to the implementation of the measures contained in resolution ____.
- § 440 The Sanctions Committee appreciates your most valuable response within ____ days.